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The establishment and application of CD3E humanized mice in immunotherapy

Running Head: CD3E humanized mice and immunotherapy

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1 Abstract

2 In the field of cancer immunotherapy, monoclonal antibody drugs, bispecific antibodies, and 3 antibody-conjugated drugs have become the focus of current research, and gene-edited animal 4 models play an essential role in the entire drug development process. In this study, CD3E 5 humanized mice were established by replacing the second to the seventh exon of the Cd3e mouse 6 gene with the same exon of the human gene. The expression of human CD3E in CD3E humanized 7 mice was detected by RT-PCR as well as flow cytometry, also a tumor model was established 8 based on CD3E humanized mice, and the pharmacodynamic effects of CD3E monoclonal 9 antibodies were evaluated. The results showed that CD3E humanized mice expressed only human 10 CD3E, and the proportion of each lymphocyte in the thymus and spleen was not significantly 11 changed compared with wild-type mice. CD3E monoclonal antibody could promote tumor growth 12 after treatment, which may be related to the activation-induced cell death effect caused by this 13 CD3E antibody. In contrast, Bispecific antibody blinatumomab inhibited tumor growth 14 significantly. Thus, the CD3E humanized mice provided an adequate animal model for evaluating 15 the efficacy and safety of CD3E antibody drugs.

Key words: CD3E; humanized mouse model; monoclonal antibody; Immunotherapy

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24 **1. Introduction**

25 The TCR-CD3 complex, which is present on the surface of T lymphocytes, plays an essential role in the adaptive immune response. This complex is formed by CD3 δ , CD3 ϵ , CD3 γ , and CD3 ζ , 26 27 together with T cell receptor α/β or γ/δ heterodimers. When antigen-presenting cells (APCs) 28 activate the T-cell receptor (TCR), TCR-mediated signals are transmitted across the cell membrane 29 via the CD3 chains CD3 δ , CD3 ϵ , CD3 γ , and CD3 ζ [1, 2]. The cytoplasmic regions of all CD3 30 chains contain immunoreceptor tyrosine-activated motifs (ITAMs). Upon TCR binding, these 31 motifs are phosphorylated by the Src family protein tyrosine kinases LCK and FYN, leading to 32 activation of downstream signaling pathways [3, 4]. Among the subunits of these constituent 33 complexes, CD3ɛ plays an essential role in T-cell development through the formation of two 34 heterodimers CD3 δ /CD3 ϵ and CD3 γ /CD3 ϵ , to initiate the assembly of the TCR-CD3 complex [5, 6]. CD3δ, CD3γ, and CD3Z deficient mice do not entirely prevent this process, although they all 35 36 inhibit T cell maturation and TCR expression to varying degrees [7-9]. In contrast, CD3c knockout 37 mice are unable to assemble the TCR precursors TCR α/β or $\gamma\delta$ TCR and thus lack mature T cells 38 [10, 11].

Antibody drugs are gradually playing an increasingly important role in the new era of tumor immunotherapy [12, 13]. Due to interspecies differences, many antibodies cannot be carried out directly using mice for preclinical experiments. Immune reconstitution using nude mice is a critical way to solve this problem. Although immune reconstitution can reconstruct the human immune system to some extent [14, 15], some immune cells are still not able to develop [16, 17].

44 Genetically modified target humanized mouse models are an effective way to address 45 interspecies differences [18]. In this study, we established a humanized CD3E mice by replacing 46 the murine Cd3e gene with the human CD3E gene. The humanized CD3E mice can be used as an

47 effective tool for the efficacy evaluation of CD3E-targeted drugs and toxicological evaluation.

48 **2**. Material and methods

49 **2.1 Animal experiments**

50 C57BL/6N mice were purchased from Beijing Vital River Laboratory Animal Technology 51 Co. Ltd. CD3E humanized (B-hCD3E) mice were provided by Biocytogen Pharmaceuticals 52 (Beijing) Co., Ltd, production license No. SCXK (Su) 2016-0004. All animal studies were 53 performed according to the protocol approved by the animal care and use committee of Biocytogen 54 Pharmaceuticals. The experimental animals were housed in the SPF barrier facility with a 55 controlled temperature ($22 \pm 2 \circ$), a 12 h/12 h light/dark cycle, and were free access to food and 56 water. Mice were euthanized by CO₂ inhalation at the end of the experiment.

57 **2.2 Generation of B-hCD3E mice**

58 On the C57BL/6J genetic background, a contiguous mouse genomic sequence of 59 approximately 8.3 kb at the endogenous mouse Cd3e locus as deleted and replaced with 60 approximately 8.8 kb of human CD3E genomic sequence comprising exon 2 starting from the the ATG initiation codon (ATG) through exon 7 ending to the D126 codon (GAT) of the human CD3E 61 62 gene. The hCD3E targeting vector was designed to contain homology regions, human DNA, Frt-63 flanked Neo resistance cassette and diphtheria toxin A (DTA) cassette. The mouse and human 64 genomic DNA fragments from relevant BAC clone were cloned to the vector pL451 (Biocytogen 65 Pharmaceuticals (Beijing) Co., Ltd) to form an intermediate vector, which contained Frt-flanked 66 Neo resistance cassette. The BAC clones from C57BL/6J mouse genomic BAC library were 67 modified with PmII (hCD3E) intermediate vector fragment by homologous recombination. These 68 modified BACs were used to construct the targeting vectors. The targeting vector contains a 69 diphtheria toxin A (DTA) cassette. The DTA gene was used for negative selection of clones with random integration. The targeting vectors were linearized before they were transfected into C57BL/6J embryonic stem (ES) cells (Biocytogen Pharmaceuticals (Beijing) Co., Ltd) by electroporation respectively. The G418-resistant ES clones were screened for homologous recombination by PCR. Correctly targeted clones were confirmed by Southern blot analysis with probes. Confirmed clones were injected into BALB/c blastocysts and implanted into pseudo pregnant females to generate chimeric mice. Chimeric mice were bred to Flp mice to obtain F1 mice carrying the recombined allele containing the deleted-neo allele.

77 **2.3 Reagents and materials**

78 MC38(colon adenocarcinoma) cells were purchased from ShunRan biology and were grown 79 and maintained in Dulbecco's Modified Eagle's medium (DMEM) containing 10% fetal bovine 80 serum (FBS) at 37°C and 5% CO₂. To construct MC38 overexpressing human CD19 cell line 81 (MC38-hCD19), the exogenous promoter and human CD19 coding sequence was inserted to 82 replace part of murine exon 2 and all of exons 3~12. Antibodies against mouse cell-surface 83 molecules including mCD45-PE-Cy7 (clone HI30, USA), mCD4-BV421 (clone GK1.5, USA), 84 mCD8-BV711 (clone 53-6.7, USA) mCD19-APC-Cy7 (clone 6D5, USA), mNK1.1-PE-Cy7 85 (clone PK136, USA), mCD11c-BV605(clone N418, USA), mCD11b-PE (clone M1/70, USA), 86 mGr1-APC (clone RB6-8C5, USA), mF4/80-FITC (clone 8M8, USA), mCD3E-PerCP (clone 87 145-2C11), mCD69-APC-(clone H1.2F3), mCD25-APC-(clone BC96), and anti-human hCD3E-88 PerCP (clone UCHT1) were purchased from Biolegend. mFoxp3-PE (clone FJK-16s, USA) 89 were purchased from Invitrogen. mTCRβ-APC (clone H57-597, USA) antibody was purchased 90 from BD Bioscience. ACK Lysis Buffer was purchased from Beyotime (C3702, China). The 91 mouse IFN-y ELISA Kit was purchased from Biolegend, The HTRF mouse IL2 kit was from 92 Cisboi. The anti-human CD3E monoclonal antibody (hCD3E), anti-mouse CD3E monoclonal antibody (mCD3E) and anti-mouse PD-1 monoclonal antibody (mPD-1) for in vivo efficacy were
provided by Biocytogen Pharmaceuticals (Beijing) Co., Ltd.

95 2.4 Analysis of human CD3E protein expression and cluster of immune cells

96 Spleen and thymus of C57BL/6N mice and B-hCD3E mice were collected under aseptic 97 conditions and weighed, then the spleen and thymus were placed on a 70 μ m sieve, ground with 6 98 mL of PBS, centrifuged at 2000 rpm for 5 min at 4 °C, and the supernatant was discarded. The 99 erythrocytes were removed by ACK Erythrocyte Lysis Solution. The reaction was terminated by 100 adding 8 mL of PBS, and the supernatant was discarded after centrifugation at 2000 rpm for 5 min 101 at 4 $^{\circ}$ C. The obtained splenocytes and thymocytes were incubated with fluorescent direct labeling 102 antibodies for 30 min at 4 °C, protected from light, washed with PBS, and resuspended before being 103 analyzed using flow cytometry.

104 **2.5 T cell proliferation, differentiation and cytokine level detection in vitro**

105 The obtained spleen cells were sorted by magnetic beads to obtain T cells. 300µL of CSFE 106 staining solution with a final concentration of 5μ M was added to 300μ L of cell suspension, mixed 107 well, and incubated for 5min at room temperature and protected from light for staining. The 108 staining was terminated by adding 9mL of 1640 complete medium, then the cells were centrifuged 109 at 4° C for 2000 rpm for 3min, and the supernatant was discarded. Cells were resuspended using 110 10 mL of 1640 complete medium, counted, and the cell concentration was adjusted to 2.5×10^{6} /mL. 111 96-well plates were coated with Anti-mCD3E (2 µg/mL) and Anti-hCD3E (2 µg/mL), respectively, 112 and incubated with Anti-mCD28 (5 μ g/mL) at 37°C for 2 hours. 5×10⁵ CFSE-stained T cells per 113 well were placed in the coated 96-well plates and incubated for 24, 48, and 72 h before being 114 analyzed using flow cytometry. Cell culture supernatants were collected, and cytokine IL-2 and 115 IFN- γ levels were detected.

116 **2.6 In vivo efficacy of CD3E antibodies**

117 5×10^5 MC38 cells were inoculated into the flank of female C57BL/6N mice and CD3E 118 humanized mice, and the mice were grouped when the tumors grew to approximately 100 mm³. 119 For the monoclonal antibodies treatment, C57BL/6N mice and humanized mice were randomly 120 grouped according to tumor volume, as the saline solvent control group, mPD-1 Ab (10 mg/kg) 121 group, hCD3 Ab (2 mg/kg) group, and mCD3 Ab (2 mg/kg) group. The antibodies were 122 administered twice a week for 5-6 consecutive doses. For the bispecific antibody treatment, MC38-123 hCD19 cells were implanted subcutaneously into B-hCD3E mice, then the mice were grouped as 124 the saline solvent control group, low-dose (0.03ug/mouse), middle-dose (1ug/mouse), and high-125 dose (10ug/mouse) groups of Blinatumomab. The body weight and tumor volume of the mice 126 were measured twice a week during the administration and the observation period. At the end of 127 the experiment, the animals were euthanized, and the relative tumor growth inhibition rate (TGI) 128 was calculated.

129 2.7 Detection of T cells in peripheral blood of mice after CD3 antibody administration

Forty-eight hours after the first antibody administration, 100 μ L of peripheral blood was collected from each mouse and lysed for 5 min using ACK red blood cell lysis solution to remove red blood cells. The reaction was terminated by adding 8 mL of PBS, and the supernatant was discarded after centrifugation at 2000 rpm for 5 min at 4 °C. After resuspension of cells using PBS, the obtained lymphocytes were incubated with the fluorescent direct-labeled antibody for 30 min at 4 °C, protected from light, washed with PBS, and resuspended before being analyzed using flow cytometry.

137 **2.8 Statistical analysis**

138 Data are expressed as means \pm SEM. a Student's t-test was applied to determine the statistical 139 significance. A value of P < 0.05 was considered statistically significant.

140 **3 Results**

141 **3.1 Generation of humanized CD3E mice**

Due to the interspecies difference between human and murine CD3 subunits, it is impossible to evaluate the efficacy of targeting CD3 drugs using wild-type mice. In this study, the exon 2 to exon 7, which encodes the signal peptide and extracellular region of mCD3E, was replaced by the region of hCD3E to generate chimeric CD3E protein with a murine-derived intracellular region (Figure 1A). RT-PCR results showed that mouse *Cd3e* mRNA was detectable only in splenocytes of wild-type while human *CD3E* mRNA was detectable only in homozygous B-hCD3E mice (Figure 1B).

After obtaining CD3E humanized mice, spleens of mice were collected, and splenocytes were isolated, and the expression of human CD3E protein was detected by flow cytometry. The results showed that in wild-type mice, 18.7% of the cells expressed mCD3E protein after detection by anti-mouse CD3E antibody; and in CD3E humanized mice, 22.1% of cells expressed human CD3E protein while mouse CD3E protein was not expressed after detection by anti-human CD3E antibody (Figure 1C).

155 **3.2 Basal characteristics of CD3E humanized mice**

156 It has been reported that thymocytes are deficient in human CD3E transgenic mice. The 157 degree of deficiency is related to the transgene copy number, with a higher copy number leading 158 to increased T-cell deficiency [4]. In this study, the thymus and spleen of CD3E humanized and 159 wild-type mice were collected and weighed separately. The results showed that the morphology of 160 the spleen and thymus of CD3E humanized mice did not differ significantly from that of wild-type 161 mice, and both remained intact (Figure 1D). Compared with wild-type mice, there was no 162 significant change in spleen- body weight ratio in CD3E humanized mice; however, the thymus 163 weight in B-hCD3E mice is slightly lower than that of WT C57BL/6 mice (Figure 1D, E).

164 **3.3 Immune cell and T cell subpopulation distribution in CD3E humanized mice**

Next, we further isolated spleen cells from CD3E humanized mice and C57BL/6N mice, respectively, and detected the distribution of each lymphocyte in both mice by flow cytometry. The results showed that the proportions of B cells, T cells, NK cells, DC cells, granulocytes, macrophages, and monocytes cells in the spleen cells of CD3E humanized mice after humanization were 65.0%, 23.6%, 5.23%, 2.96%, 0.52%, 1.89%, and 1.62% of CD45+ cells, which were consistent with the distribution in wild-type mice (Figure 2).

171 We further analyzed the distribution of CD4 T cells, CD8 T cells, and Treg cells in T cells. 172 The proportions of CD4+ cells, CD8+ cells among TCR β + cells were 62.5%, 24.2% respectively, 173 while the proportion of Foxp3+ cells among CD4+ cells was 3.65% in CD3E humanized mice, 174 this result was not significantly different from the distribution of each cell type in wild-type mice 175 (Figure 3A-B). The above results were similarly verified in spleen cells (Figure 3C-D).

Together, the above results indicate that CD3E humanization modification does not affect the
distribution of each lymphocyte subpopulation, especially the T-cell subpopulation in mice.

178 **3.4 T-cell proliferation and activation in CD3E humanized mice**

We further investigated the effect of CD3E humanization on T cell function. T lymphocytes (2.5×10^6 cells) isolated from the spleen of C57BL/6 mice and CD3E humanized were stimulated by using Anti-mCD3E and Anti-hCD3E co-stimulated with Anti-mCD28, respectively. Flow cytometry assays of T cell proliferation and activation showed that in B-hCD3e mice, only antihCD3 stimulated T cell proliferation, whereas in C57BL/6 mice only anti-mCD3 stimulated T cell proliferation, and the proportion of proliferation in B-hCD3e mice was similar to that in C57BL/6
mice (Figure 4A-B). Similarly, the activation of CD25 and CD69-labeled T cells showed the same
pattern as T cell proliferation (Figure 5A-B).

187 IFN- γ and IL-2 were detected by ELISA after 24, 48, and 72 h to reflect T cell proliferation 188 and activation. The results showed that concentration of IFN- γ and IL-2 in B-hCD3E mice was 189 similar to that of C57BL/6 mice, indicating that CD3E humanization in B-hCD3E mice does not 190 change the cytokine secretion after T cell activation (Supplementary Figure 1).

191 **3.5 Effect of CD3E antibodies on tumorigenesis in mice**

192 To further investigate the application of CD3E humanized mice in cancer immunotherapy, a 193 cell line-derived syngeneic model was performed on CD3E humanized mice and wild-type mice. 194 In wild-type C57BL/6 mice, the PD-1 antibody administration group showed a significant 195 reduction in tumor volume, but the murine CD3E antibody group showed a significant increase in 196 tumor volume. The tumors in the anti-human CD3E antibody group (Teplizumab) were close to 197 the control group, indicating that in wild-type mice, only the murine CD3E antibody could support 198 tumor cell growth (Figure 6A). Further analysis of T- and B-cell ratios revealed that TCR β % was 199 significantly lower in the murine CD3E antibody group than in the other groups (data not shown). 200 In CD3E humanized mice, the results of tumor volume in the control and PD-1 administration 201 groups were consistent with the previous experiments, and treatment with human CD3E antibody 202 Teplizumab showed a significant increase in tumor volume. The tumor volume after treatment 203 with murine CD3E antibody was not significantly different from the control group, indicating that 204 anti-human CD3E antibody Teplizumab in humanized CD3E mice better support the growth of 205 tumor cells (Figure 6B). Further analysis of the ratio of T cells and B cells in the peripheral blood 206 cells of these mice revealed no significant difference in the ratio of B cells (Fig 7A). While the

proportion of T cells was significantly lower in anti-human CD3E antibody treatment group (Fig 7B). Moreover, the proportion of CD4⁺ T cells and CD8⁺ T cells in this group of mice was also significantly lower than that in the control group (Fig 7C-D).For the results of Blinatumamab, the tumor volume can be suppressed by Blinatumamab dose-dependently (Figure 6C), indicating that T cells are specifically recruited to the tumor surface by bispecific antibodies Blinatumamab through their surface CD3 complexes.

The above experiments showed that the humanized CD3E mice generated by this method have normal immune responses, can effectively bind human CD3E antibody and transduce downstream signals, which proved that the humanized CD3E mice can be used for screening antihuman CD3E antibody and in vivo drug efficacy assay, and can be used as an in vivo alternative model for in vivo studies for the screening, evaluation, and treatment of human CD3E signaling pathway regulators, bispecific antibodies and other drugs.

219 **4. Discussion**

220 In the present study, we successfully established CD3E humanized mice by replacing the 221 murine CD3E extracellular region with human CD3E extracellular region through gene targeting 222 technique. The distribution of lymphocyte subpopulations in the thymus and spleen of this mouse 223 was consistent with that of wild-type mice, indicating that humanized mice did not affect the 224 distribution of lymphocyte subpopulations. The proliferation and activation of T cells in CD3E 225 humanized mice isolated from the thymus and spleen under co-stimulation with CD3 and CD28 226 were consistent with those in wild-type mice, indicating that humanized mice do not affect the 227 proliferation and activation of T cells.

CD3E humanized mouse has been reported in some cases, and overexpression of the human
 CD3E gene in wild mice has limited the application of this mouse due to the high copy number of

CD3E expression, which causes abnormal thymus development [19]. Our humanized mice were generated by replacing the mouse extracellular region sequence with the human CD3E extracellular region sequence. The mice had normal spleen development. Although the thymus to body weight ratio was decreased, further analysis revealed that the ratio of CD4, CD8 cells to Treg cells in the thymus of these mice was similar to wild type. The proliferation and activation of T cells were not significantly different from those of wild-type mice, indicating the normal function of the humanized mice.

237 We observed a significant increase in tumor volume in the MC38 syngeneic tumor mouse 238 model in wild-type mice and human CD3E humanized mice administered with mouse and human 239 CD3E antibodies, respectively. To investigate the mechanism behind this phenomenon, we found 240 that in humanized mice, the proportion of T cells in peripheral blood was significantly lower in anti-human CD3E antibody-treated mice than that of controls. Activation-induced cell death 241 242 (AICD) is the process of programmed cell death of thymocytes by apoptosis of matured T cells, 243 which can be induced by CD3E antibody [20, 21]. We therefore speculate that the decrease in T 244 cells and increase in tumor volume after treatment of CD3E antibody in our experiments may be 245 caused by AICD, but further experimental evidence is still needed to prove this assumption.

The development of CD3E antibody drugs has been much studied in many disease areas such as oncology, infection, immune rejection, and type 1 diabetes, in the form of drugs including monoclonal antibodies, bispecific antibodies, and CAR-T, indicating the great importance of drug research against CD3E targets [22-26]. The CD3E humanized mice generated in this study are of great importance for the drug development and immunological mechanisms of this target in tumor and autoimmune diseases [19, 27].

252 Author contributions

253	Rufeng Zhang, Jing Zhang, Xiaofei Zhou, Ang Zhao designed and performed the
254	experiments; Rufeng Zhang, Jing Zhang and Changyuan Yu analyzed data and wrote the
255	manuscript.
256	Conflict of Interest
257	This research was conducted with a research fund from Beijing University of Chemical
258	Technology, which the primary author Rufeng Zhang and corresponding author Changyuan Yu
259	belongs to. Jing Zhang, Xiaofei Zhou, Ang Zhao are employees of Biocytogen Pharmaceuticals
260	(Beijing) Co., Ltd.
261	Sources of founding
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Figure legends:

Fig. 1 Generation of CD3E humanized mice

(A) Target strategy of CD3E humanized mice. (B) Strain specific analysis of CD3E gene expression in CD3E humanized mice and C57BL/6 mice by RT-PCR. (C) CD3E proteins expression level in CD3E humanized mice and C57BL/6 mice. (D) Representative photos of thymus and spleen. (E) Weight (% of body weight) of thymus and spleen in CD3E humanized mice and C57BL/6 mice. n=4 mice per group.

Fig.2 Analysis of leukocytes subpopulation in B-hCD3E mice spleen

(A) Flow cytometry pictures of leukocytes subpopulation in CD3E humanized mice and C57BL/6 mice. (B) Percent of T, B, NK, DC, Granulocytes, macrophage and Monocyte cells in spleen leukocyte of CD3E humanized mice and C57BL/6 mice. n=6 mice per group.

Fig. 3 Analysis of thymus and spleen T cell subpopulations

(A) Flow cytometry pictures of thymus T cells subpopulations in CD3E humanized mice and C57BL/6 mice. (B)Percentage of thymus CD4+ T cells, CD8 + T cells and Treg cells in TCR β cells (n=4). (C) Flow cytometry pictures of spleen T cells subpopulations in CD3E humanized mice and C57BL/6 mice. (D)Percentage of spleen CD4+ T cells, CD8 + T cells and Treg cells in TCR β cells. n=4 mice per group.

Fig.4 Proliferation of T cells isolated from WT and CD3E humanized mice under stimulation of CD3 and CD28

(A) Representative CFSE histograms showing proliferation of CD4+ T-cells and CD8+ T-cells under stimulation of mouse or human CD3 together with mouse CD28. (B) Percentage of CD4+ T-cells and CD8+ T-cells. n=4 mice per group.

Fig.5 Activation of T cells isolated from WT and CD3E humanized mice under stimulation of CD3 and CD28

(A) Representative flow plots of CD69 and CD25 expression showing activation of CD4+ T-cells and CD8+ T-cells under stimulation of mouse or human CD3 together with mouse CD28. (B) Percentage of activated CD4+ T cells and CD8+ T-cells. n=4 mice per group.

Fig. 6 The application of CD3E humanized mice in tumor immunotherapy

(A-B) Pharmacodynamic response to PD-1 monoclonal antibody and CD3E monoclonal antibody in C57BL/6 mice (A) and CD3E humanized mice (B). (C) Pharmacodynamic response to Blinatumomab in CD3E humanized mice. n=6 mice per group.

Fig. 7 Decrease in the proportion of T cells caused by CD3E antibody

(A) The percentage of B cells among the CD45⁺ T cells. (B) The percentage of T cells among the CD45⁺ T cells. (C) The percentage of CD4⁺ T cells among the TCR- β^+ T cells. (D) The percentage of CD8⁺ T cells among the TCR- β^+ T cells. n=6 mice per group. **: p<0.01, ***:p<0.001.















Supplementary Figure 1



T cell activation stimulated with anti-CD3 antibody in vitro

(A) IFN-γ production under the stimulation of anti-CD3E antibody and anti-mCD28 antibody for 24h, 48h and 72h. (B) IL-2 production under the stimulation of anti-CD3E antibody and anti-mCD28 antibody for 24h, 48h and 72h. n=4 mice per group.